

Keith M. Woodwell

Director and Shareholder

ClydeSnow

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PRACTICES

- Administrative Law
- Securities and Regulatory Defense
- Securities Enforcement, Regulatory Defense and Litigation
- White Collar Crime, Government and Independent Investigations
- Stand Against Misconduct: Expert Whistleblower Law Services

BAR ADMISSIONS

- Utah State Courts
- Various Federal District Courts
- Tenth Circuit Court of Appeals

WEB PROFILES



Mr. Woodwell focuses his practice on securities enforcement, regulatory defense, government and independent investigations, and white-collar defense. He represents corporate and individual clients in regulatory enforcement investigations and litigation before the U.S. Department of Justice (DOJ), the U.S. Securities and Exchange Commission (SEC), and other federal and state securities and commerce agencies. Mr. Woodwell also provides expert witness services on securities issues in both state and federal court.

Prior to joining Clyde Snow, Mr. Woodwell served as the Director of the Utah Division of Securities for 10 years. During his tenure at the Division, Mr. Woodwell oversaw and litigated hundreds of complex enforcement investigations. Mr. Woodwell also held various leadership roles for the North American Securities Administrators Association (NASAA), including as Enforcement Section Chair, Ombudsman, and on the Board of Directors.

Before his service with the Division, Mr. Woodwell spent several years in Washington D.C. and overseas in the National Clandestine Service of the Central Intelligence Agency. He has also served as Associate General Counsel to the Utah State Legislature. Mr. Woodwell is also an Adjunct Professor at BYU's J. Reuben Clark Law School, teaching a class on white-collar crime and securities fraud.

Education

- Juris Doctor, magna cum laude, J. Reuben Clark Law School, Brigham Young University (1995)
 - Stephen L. Richards Scholar
 - Education and Law Journal
- Bachelor of Arts, Philosophy; Minor: International Relations, magna cum laude, Brigham Young University (1991)
 - Ezra Taft Benson Scholar
 - National Merit Scholar

Presentations and Professional Affiliations

- Former Chair of the Securities Section of the Utah State Bar
- Former Enforcement Section Chair and Board Member, North American Securities Administrators Association (NASAA)
- Annual Presentations for the Utah State Bar Annual Securities Section Meeting from 2009 through 2023, including:
 - “Ethical Considerations for Protecting Confidential Information in the Age of Cybersecurity Breaches, Crypto-Currencies and ICOs”
 - “Dealer Or No Dealer? Navigating the SEC’s Crackdown on Unregistered Dealers”
 - “Small Private Funds: A Practitioner’s Guide to Navigating the Investment Company Act and the Investment Advisers Act”
 - “How the DOL Fiduciary Proposal Impacts the Compliance Landscape for Broker-Dealers”
 - “Recission Remedies for Your Clients”
- Presentation to the National Society of Compliance Professionals on “The State of State Securities Law and SEC Annual Reports”
- Securities Industry and Financial Markets Association (SIFMA) Compliance and Legal Society Presentation on Current Securities Enforcement Issues
- AARP “Staying Sharp” Presentations on Recognizing and Avoiding Investment Fraud

Awards and Honors

- Utah Business Magazine, Utah Legal Elite
- Blue Chip Award, Utah Council of Economic Education
- Outstanding Service Award, NASAA